



WireCo WorldGroup Inc.
Supplier Questionnaire

Company Info:

Supplier Company Name:
Main Office Address:
Main Office Phone:
Website:
Tax ID Number:
DUNS No.
NAICS Code(s):
Date and State of Incorporation:
Number of employees:
Gross annual sales:
Officers:
Contact person (name, address, phone, email):
Parent company:
Provide the name of all owners 50% or more:

Provide at least three customer references:

Table with 4 columns: Customer Name, Contact Name, Phone Number, Email. Contains 3 empty rows for data entry.

Has your company ever filed bankruptcy? [] Yes [] No

Business Conduct / Anti-Corruption Compliance:

Do you have a code of business conduct or other written policy that supports ethical business practices? If so, please provide.

[] Yes [] No [] Other: _____

Do you have an anti-corruption policy? If so, please provide.

[] Yes [] No [] Other: _____

Do you have a whistle blowing process which allows employees to report violations of your policies or suspicion of corruption, fraud, discrimination or other ethical violations?

[] Yes [] No [] Other: _____



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Do you provide regular training sessions for your employees concerning ethical business conduct and anti-corruption?
 Yes No Other: _____

Do you have audit procedures in place to monitor the effectiveness of your compliance program?
 Yes No Other: _____

Human Rights:

Do you have written policies in place to ensure compliance with labor/employment laws and regulations?
 Yes No Other: _____

Do you have written policies in place for hiring, salary, benefits, termination, and/or retirement practices to prevent discrimination on the basis of age, disability, gender, nationality, race, color, religion, social or ethnic origin, sexual orientation, health condition, political opinion, etc.?
 Yes No Other: _____

Do you have policies that prohibit forced labor and child labor?
 Yes No Other: _____

Do you provide training for your employees regarding ethical workplace requirements and human rights?
 Yes No Other: _____

Is your company required to prepare a modern slavery statement under any 'transparency in supply chain' or modern slavery reporting legislation? If so, please provide.
 Yes No Other: _____

Do you source goods or labor from jurisdictions considered high risk for modern slavery?
 Yes No Other: _____

Do you have a policy or other structured program aimed at preventing modern slavery in your operations and supply chains?
 Yes No Other: _____

Health, Safety and Environment/ HSE:

Do you have a formal Health, Safety & Environment program?
 Yes No Other: _____

Are your employees trained on relevant health and safety matters, such as personal protection equipment, machine safeguarding, hazardous materials handling, emergency management, etc.?
 Yes No Other: _____

Is your Environmental Management System ISO 14001 certified?
 Yes No Other: _____

Do you monitor & conduct internal audits of your Health, Safety & Environment program to demonstrate the effectiveness of the system?
 Yes No Other: _____

Do you comply with the requirements of the EU's REACH regulation (Registration, Evaluation, Authorisation and Restriction of Chemicals)?
 Yes No Other: _____

Do you supply any products to WireCo which are subject to REACH? If so, please list them.
 Yes No Other: _____



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Do you comply with the requirements of the EU's RoHS directive (Restriction of Hazardous Substances)?

Yes No Other: _____

Do you supply any products to WireCo which are subject to RoHS? If so, please list them.

Yes No Other: _____

Do you comply with the requirements of California's Prop 65 (Safe Drinking Water and Toxic Enforcement Act of 1986 of California)?

Yes No Other: _____

Quality:

Do you have a documented quality management system?

Yes No Other: _____

Is your Quality Management System ISO 9001 certified?

Yes No Other: _____

Conflict Minerals:

Do you have a conflict minerals policy?

Yes No Other: _____

Do any of the products you supply to WireCo contain any of the 3TG Metals (Tin, Tantalum, Tungsten, Gold) or Cobalt?

Yes No Other: _____

Export control:

Do you have an export control compliance policy or system in place also covering trade restrictions (e.g. dual use goods, goods on commerce control lists, etc.)?

Yes No Other: _____

Do you comply with all applicable export control laws in regard to your supply of products to WireCo?

Yes No Other: _____

Do you have a person within your organization who is responsible for export control matters?

Yes No Other: _____

Are you or any of your affiliates or directors or officers subject to sanctions of the USA, the EU or any other country?

Yes No Other: _____

Do you do business with or import any raw materials/products, works or services from any country that may be subject to sanctions and/or trade restrictions, such as embargoes?

Yes No Other: _____

Supply Chain Management:

Do you have a formal procedure for qualifying and managing suppliers?

Yes No Other: _____

Do you conduct background checks and/or compliance screenings of your suppliers?

Yes No Other: _____

Do you have contractual clauses that require your suppliers to comply with your standards for business conduct and anti-corruption policies?

Yes No Other: _____



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Do you audit your suppliers on a regular basis, including compliance with respect to Environmental Health & Safety matters, employment and human rights matters, business conduct standard and anti-corruption requirements?

Yes No Other: _____

Business Continuity:

Do you have a business continuity and/or disaster recovery plan?

Yes No Other: _____

Corporate Social Responsibility:

Do you have a Corporate Social Responsibility (CSR) policy? If so, please provide.

Yes No Other: _____

Are you certified for any of the CSR standards, such as ISO 26000 Guidance on Social responsibility, national CSR standards or SA8000?

Yes No Other: _____

Enforcement:

Have you or any of your executives, directors and/or managers been cited or convicted for major violations of laws resulting in monetary penalties and /or formal corrective actions mandated by a government or regulatory authority or a court in the past five years, including the following:

- a) labor, human rights and fair working conditions
- b) environmental responsibility and sustainability
- c) business integrity (e.g. fraud, bribery, antitrust, etc.)
- d) export control provisions
- e) intellectual property rights/business secrets

Yes No Other: _____

Certification:

I hereby certify that the above information is true and correct as of the date of this certification, and that the Supplier will comply with all applicable laws and with WireCo's policies pertaining to the supply chain, including but not limited to the Supplier Code of Conduct and the Company's Conflict Minerals Policy ("Supplier Policies"), found at <https://www.wireco.com/company/legal-documents/compliance-policies>.

Signed: _____

Name: _____

Title: _____

Date: _____